




EU-RAIL SYSTEM PILLAR

System Concept - Performance KPI definition



System Concept - Performance KPI definition

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Abstract	This documents defines the Performance KPIs and Performance Targets for a modular railway architecture and analyses the RAM Performance needed to reach overall Performance Targets
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DRAFT

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Type of Approval	 Document Review
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Approval by approvers

Type of Approval	 Document Approval
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1 Preamble


1.1 Purpose

Introduction to performance

The term 'performance' gives the impression that multiple indicators of 'an element' within the Railway sector can be combined, compared and assessed against a target. However, the difficulty with this term is that interpretation of what 'performance' represents is dependent on a stakeholder's viewpoint, role and responsibility. This document intends to outline the definition and application of 'performance' in the Railway context. Initially the document is written to be used within the ERJU SP and IP context.

Performance in a Railway context is "the ability of all technical and operational functions, in a specific operational context, to deliver a pre-defined and agreed level of service of the system and/or vehicle in operation".


The minimum level of performance is determined and influenced by technical and operational aspects on system, vehicle and operational level, such as but not limited to: reliability, availability, maintainability, safety, security, human factors, quality, mission profile, environmental impact and laws and regulations. This means that scope and boundaries (span of control) of performance need to be defined clearly.

This also stresses why the inclusion of the principles of the RAM Policy to the ERJU activities for PRAMS, is of high importance. [SPPRAMSS-11180,  Text]

1.2 Intended Audience

PRAM KPI document intended audience


This document is intended for the following users:

- Engineering Environment Team (to ensure harmonisation of content compared to the  SPPRAMSS-4179 - [ERJU - SEMP]),
- System Pillar domains,
- Innovation Pillar teams,
- PRAMS engineers part of mirror group(s),

In addition, this document can be shared with a wider audience for informal opinion reviews:

- PRAMS engineers outside ERJU,
- Any other stakeholder from the railway sector.

Comments will be handled by the PRAMS team but they cannot block the delivery of the document in case of disagreement with the PRAMS team.

[SPPRAMSS-15772,  Text]

1.3 Document Context


1.3.1 Why are KPI necessary in the System Pillar?

Why are KPI necessary in the System Pillar?

Today, the EN 61703:2016 standard defines formulas for some PRAM KPIs (e.g. MTBF), but it does not include all PRAMS KPIs that are present in the different tenders of RUs/IMs. The non-harmonised KPIs lead to suppliers struggling to provide a product to different customers because of different KPI definitions.

Each time, the supplier has to prove that the proposed KPIs used fit the customer's KPIs. Harmonising these KPIs will avoid misunderstandings and additional effort for suppliers (and their sub-suppliers) and RU/IM in PRAM requirements management.

This resulted in each RU/IM defining its own KPIs with proprietary formulas and values. These can be very different between customers and become a sensitive point when suppliers intend to respond to a tender. Given the need to define a SERA (Single Europe Railways Area) and the liberalisation of the sector, it is necessary to harmonise indicators that measure performance in the same way. Let's say, for example, that a country or region in the EU wants to put commuter services out to tender and can require a minimum quality threshold using objective and harmonised criteria. The same would be true for IMs who, for example, would need to meet a minimum maintenance performance threshold in order to receive funding for asset upgrades.

This is best done through objective and harmonised indicators. [SPPRAMSS-11181,  Text]

1.3.2 How are KPI proposed?

How are KPI proposed?

Recognising the difficulty of proposing valid parameters for so many countries with such different railway systems, a methodology for their definition will be proposed in each case. This methodology will also include some examples for better understanding.

Another of the most innovative aspects will be the definition of KPIs at four levels, which will be elaborated in Chapter 2 (Performance Architecture). In general we distinguish between:

- a) The highest level shall be used to measure the performance of the IM or RU at top management level. For example, the punctuality of passenger trains in a determined area.

2025-09-26 15:40

Resolved Ronnarit Pholprasertporn

How should we clearly separate the highest level from the intermediate level? Some factors can be influenced by more than one level. For example, train punctuality can be affected by operations (intermediate level).

2025-10-03 16:05

Julien Bois

This comment will be brought to the next F2F meeting in Lausanne

2025-10-09 14:02

BALSAMO, Giuseppe

This is by design. The separation is based on scope and responsibility. Level 1 sets the strategic business objective (the 'what', e.g., the overall punctuality target agreed in a contract). Level 2 measures the operational performance required to achieve it (the 'how', e.g., fleet availability or infrastructure reliability, which directly contribute to punctuality). The model is designed to cascade requirements top-down.

No change to the document needed


- b) The intermediate level shall be used to measure the performance of railway operations for the day-to-day business management of the IM or RU. For example, the availability of the fleet of commuter trains in a region.
- c) The detailed level(s), typically one or two, shall be used to measure the performance of the entities responsible for the maintenance of railway assets (either rolling stock or infrastructure), taking into account the RAM criteria, such as technical requirements and process requirements (e.g. execution of maintenance). These KPIs shall be used as a basis by each SP domain down to the manufacturing. Depending on the required level of detail, this level can be divided in an asset level and subsystems level.

Note that highest level corresponds to 'level 1', intermediate level to 'level 2' and detailed level(s) to 'level 3' and 'level 4', as described in Chapter 2. [SPPRAMSS-11231,  Text]

1.3.3 Performance as KPI is ambiguous

Performance as KPI is ambiguous

The term 'performance' can be applied to physical objects, to processes, to structures and to networks in which physical objects and processes are combined. In industrial and manufacturing applications, the term is often related to effectiveness and efficiency of the output or the Overall Equipment Effectiveness (OEE). In maintenance applications, the term is often related to the Mean Time To Repair (MTTR) or Mean Down Time (MDT) and the necessary time to maintain the system. In Railways in general, the term is often related to operations, and in particular the amount of delays or cancellations of service (i.e. 'operational performance'), but can as well refer to life cycle costs (i.e. 'financial performance').

The main reason for different interpretation is that performance is considered as the ratio between a predetermined target value which sets expectations in comparison to what really has been achieved. Note that performance can be expressed in both a quantitative and qualitative way, can comprise one or multiple indicators, has a different functional meaning at each hierarchical level, and is for that reason (!) subject to interpretation by different stakeholders, which makes a unified use of this term rather difficult – but not impossible. [SPPRAMSS-11236,  Text]


1.3.4 Objective of this document

Objective of this document

The application of the term 'performance' to the Railway sector, and in particular to ERJU projects has been outlined in this application guide. The goal of this guide is to help the reader:



- To understand the basic principles
- To recognize and distinguish between architectural levels of performance
- To know which standards and definitions are important
- To define performance indicators for any 'element' in the Railway sector
- To apply the principles to any System Pillar project or context, and
- To apply the principles to any Innovation Pillar projects at the correct phase

With this document, we hope the reader realizes and acknowledges the architectural levels of performance, the mutual relationship between factors affecting performance and the difference between agreed, actual and perceived performance of (any element of) a railway system. Also we would like to put emphasis on how the PRAMS team can help to include these principles to any SP or IM project.

[SPPRAMSS-11234,  Text]

1.4 Glossary

Introduction to glossary

The following terms and abbreviations are used in this ERJU PRAM KPI document. Additional and/or related terms and abbreviations can be found in the ERJU Glossary  Definitions. [SPPRAMSS-15770,  Text]

1.4.1 Terms and definitions


No references

1.4.2 Abbreviations

Abbreviations

The following abbreviations are used in this document and are not yet defined in SP-PRAMSS:

Abbreviation	Explanation
IM	Infrastructure Manager
RU	Railway Undertaking.
KPI	Key Performance Indicator (or Key Performance Index)
ERJU	Europe's Rail Joint Undertaking.
FBS	Functional Breakdown Structure
SP	System Pillar
SBS	System Breakdown Structure
RAM	Reliability, Availability, Maintainability.
OEE	Overall Equipment Effectiveness.
ISO	International Organization for Standardization.
User	In this sense, user can be understood as passenger, unless another word such as 'level crossing user' is added.
IP	Intellectual Property
SERA	Single European Railways Area
INCOSE	International Council on Systems Engineering
MDBSF	Mean Distance Between Service Affecting Failures
FIT	Failure In Time

[SPPRAMSS-11192,  Text]

FMECA

failure modes, effects and criticality analysis


quantitative or qualitative method of analysis that involves failure modes and effects analysis together with a consideration of the probability of the failure mode occurrence and the severity of the effects

Note 1 to entry: The term "fault mode, effects and criticality analysis" in IEC 60050-191:1990 (now withdrawn; replaced by IEC 60050-192:2015) is deprecated, since a fault (192-04-01) is a state and cannot logically have a mode, whereas a failure mode (192-03-17) is a change of state.

[SOURCE: IEC 60050-192:2015, 192-11-06]

Note 2 to entry: FMEA is a systematic method of evaluating an item or process to identify the ways in which it might potentially fail, and the effects of the mode of failure upon the performance of the item or process and on the surrounding environment and personnel.


Failure modes may be prioritized according to their importance. The prioritization can be based on a ranking of the severity alone, or this can be combined with other measures of importance. When failure modes are prioritized, the process is referred to as failure modes, effects and criticality analysis (FMECA).


[SPPRAMSS-4047,  Definition]

FTA

fault tree analysis

deductive analysis using fault trees

Note 1 to entry: See also fault tree (192-11-07  SPPRAMSS-4464 - fault tree).


[SOURCE: IEC 60050-192:2015, 192-11-08] [SPPRAMSS-4052,  Definition]



OCORA - Open CCS On-board Reference Architecture

MTBF

mean operating time between failures

expectation of the duration of the operating time between failures

Note 1 to entry: Mean operating time between failures should only be applied to repairable items. For non-repairable items, see mean operating time to failure (192-05-11)  SPPRAMSS-4040 - MTTF .

[SOURCE: IEC 60050-192:2015, 192-05-13]  SPPRAMSS-4041,  Definition]

MRT

expectation of the (mean) repair time

[SOURCE: IEC 60050-192:2015, 192-07-21]

Note 1: MRT = fault localization time + fault correction time + function checkout time according


 SPPRAMSS-3539 - [EN 61703: 2016]  SPPRAMSS-4037,  Definition]

MTTR



mean time to restoration - expectation of the time to restoration

deprecated: mean time to repair, mean time to recovery

Note 1 to entry: IEC 60050-191:1990 (now withdrawn; replaced by IEC 60050-192:2015) defined the term "mean time to recovery" as a synonym, but restoration and recovery are not synonyms.

Note 2 to entry: MTTR = MFDT + MAD + MLD + MTD + MRT according  SPPRAMSS-3539 - [EN 61703: 2016]

[SOURCE: IEC 60050-192, 192-07-23, modified: Note 2 to entry added.]

 SPPRAMSS-4034,  Definition]

MDBF

Mean Distance Between Failures

[SOURCE: Wikipedia [Mean Distance Between Failure – Wikipedia](#)]  SPPRAMSS-4039,  Definition]



PRAMS

Performance, reliability, availability, maintainability, safety  SPPRAMSS-5300,  Definition]

1.4.3 References

The references used in this document and information for further insights are li...

The references used in this document and information for further insights are listed below:

 SPPRAMSS-11185,  Text]



[ISO 55000:2024]

Asset management — Vocabulary, overview and principles



 SPPRAMSS-11186, external reference - <https://www.iso.org/standard/83053.html> ,  Reference]

[EN 61703: 2016]


Mathematical expressions for reliability, availability, maintainability and maintenance support terms

 SPPRAMSS-3539,  Reference]


[EN 50126-1:2017]

Railway Applications – The Specification and Demonstration of Reliability, Availability, Maintainability and Safety (RAMS) - Part 1: Generic RAMS Process  SPPRAMSS-51,  Reference]

[ISO/IEC 25019:2023]

Systems and software engineering — Systems and software Quality Requirements and Evaluation (SQuaRE) — Quality-in-use model [SPPRAMSS-11189, external reference - <https://www.iso.org/standard/78177.html> ,  Reference]


[ISO/IEC 25010:2023]

Systems and software engineering — Systems and software Quality Requirements and Evaluation (SQuaRE) — Product quality model [SPPRAMSS-11187, external reference - <https://www.iso.org/standard/78176.html> ,  Reference]


[CLC/TS 50701:2023]

Railway applications - Cybersecurity [SPPRAMSS-11184,  Reference]

[ISO 45002-1:2018 or ISO 45002:2023]

Occupational health and safety management systems — General guidelines for the implementation of ISO 45001:2018 [SPPRAMSS-11188, external reference - <https://www.iso.org/standard/76619.html> ,  Reference]

[OCORA RAMSS Policy - 1.00]

[SPPRAMSS-5296, [OCORA-TWS07-203_RAMSS_Policy.pdf](#) , external reference - https://github.com/OCORA-Public/Publications/blob/master/09_OCORA%20Release%20R4/OCORA-TWS07-203_RAMSS_Policy.pdf ,  Reference]



[FSR.EUI.EU Article:yyyy]

Article on improvement of the European Railway system [SPPRAMSS-11193, external reference - <https://fsr.eui.eu/define-measure-improve-performance-european-railway-system/> ,  Reference]

[PRAMS System Concept]

 System Concept - PRAMS [SPPRAMSS-11196,  Reference]

[ERJU System Levels and System Pillar Tasks]

 SPPRAMSS-3567 - System Levels and SP Tasks [SPPRAMSS-11195,  Reference]

2 Performance architecture

2.1 Performance levels

Performance levels

As mentioned in the introduction and having the Systems Engineering principles (INCOSE) and Asset Management principles (ISO 55000:2014)

2024-06-03 14:02	Resolved	Bois Julien (I-NAT-GST-CCS-EXT - Extern)
we need proper WI with the references to INCOSE and ISO 55000		
2024-08-21 16:34		Vries, Maarten de
Do you mean in referring to a specific section or clause within these reference? Please elaborate.		
2024-10-09 11:58		CABRERA JERONIMO Francisco
List of references re-done		

in mind, a system or process can have multiple layers of integration. Think of systems, sub-systems and components having their own layer of integration and detailing in terms of engineering. Generally, the highest echelon of a System

2024-09-25 12:00	Resolved	Stoico Paolo
[Minor] Actually SBS is the acronym for System Breakdown Structure		

Breakdown Structure (SBS) or Functional Breakdown Structure (FBS)

2024-09-25 12:01

Resolved Stoico Paolo

[Minor] Consider to add the acronym FBS

defines the asset system or network, in which an asset or asset groups are integrated in a specific environment.

If we apply this theory to the Railway context, with respect to performance, we can define four architectural levels at which performance covers a specific area and has a specific meaning and objective:

2024-06-03 14:07

Resolved Bois Julien (I-NAT-GST-CCS-EXT - Extern)

It would be good to map them with the hierarchy of ERJU: <https://polarion.rail-research.europa.eu/polarion/redirect/project/SPPRAMS/workitem?id=SPPRAMSS-3567>

2024-06-04 18:21

Vries, Maarten de

4-6-2024: we discussed this in the Frankfurt workshop. The ERJU SoS structure is based on functions, rather than systems. A direct linking is difficult, however, we try to incorporate the link between both with an explanation

2024-09-20 10:12

Vries, Maarten de

Done, refer to section 4.3.2

- **Level 1:** the first level of performance covers the agreements between a public authority (such as a government, municipality or transport authority) and a concession holder (such as a Infrastructure Manager or Railway Undertaking). These agreements are generally covered within a concession or contract between both parties. Examples of indicators include but are not limited to punctuality, safety, capacity and customer satisfaction.
- **Level 2:** the second level of performance covers the targets for system of networks of assets (such as a fleet of trains, a group of infrastructure assets or a communication network providing a specific function). These systems or networks comprise multiple assets which might be different in type, form and function, but have been allocated in a dedicated system or network. For Asset Management (the Asset Manager) this level is important to manage performance, risks and costs.

2025-09-26 15:52

Resolved Ronnarit Pholprasertporn

Why are costs categorized at Level 2? According to the hierarchy on page 11, Level 2 focuses on operational processes, while costs should be part of the business processes at Level 1.

2025-10-03 16:05

Julien Bois

This comment will be brought to the next F2F meeting in Lausanne

2025-10-09 14:05

BALSAMO, Giuseppe

The model distinguishes between strategic financial performance (Level 1) and operational costs (Level 2). Level 2 includes costs like OPEX and LCC because Asset Managers (a Level 2/3 stakeholder per section 2.2) are directly responsible for managing the costs of the asset systems.

No change to the document is needed

- **Level 3:** the third level of performance covers the targets for individual assets (such as a infrastructure system, specific train or a distributed antenna system).

2024-06-03 14:09

Resolved Bois Julien (I-NAT-GST-CCS-EXT - Extern)

isn't it a level 5 for the antenna system?

2024-06-04 18:24

Vries, Maarten de

This depends on the system breakdown structure. With antenna system on Level 3 was meant the complete element including all systems/subsystem.

Generally targets are applicable to all individual assets within an

2024-09-25 12:05

Resolved Stoico Paolo

[Minor] "an"

asset system or network, but can also be specific. For example in case a specific asset is critical to enable a function, or when redundancy is not present. For Reliability and Maintenance Engineering this level's performance targets enable

2024-09-25 12:06

Resolved Stoico Paolo

[Minor] "enable"

to identify assets that are underperforming and starting root cause analyses to anomalies.

- **Level 4:** the fourth level of performance covers the targets for (sub-)systems within an asset (such as a switch motor, traction motor or a 5G antenna). For Reliability and Maintenance Engineering this level's performance targets enable

2024-09-25 12:07

Resolved Stoico Paolo

[Minor] "enable"

to find solutions to improve system design to improve performance.

At all levels respectively business, operational, situation dependent and system dependent processes have an influence on the performance of the Railway system. It must be stressed that, however the breakdown is based on assets, the processes have a major influence. From experience in multiple major projects in recent years (up to 2023), the share of process related incidents versus technical related incidents is up to 70% (!). Hence, requirements to related processes are key in managing performance at all levels.

This is represented graphically in the following figure:

2024-09-24 13:28

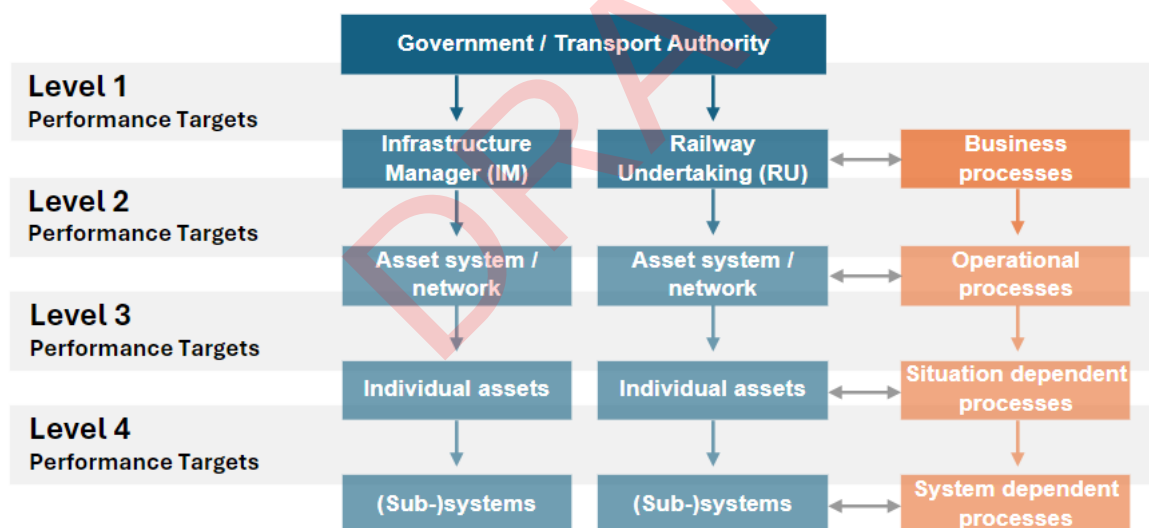
Resolved Andreeva-Moschen Emilia (HOLDING)

[Major] 1) "Situation dependent processes" is irritating, a process is a stable procedure which ensures quality;
2) What is the relevant difference between "agreements" and "targets" on the context of KPI.

2024-10-08 14:00

CABRERA JERONIMO Francisco

agreements are concluded with third parties (organising authorities, etc.) and may include qualitative and quantitative targets. Objectives may be purely internal



Note that performance targets for asset systems / networks (level 2) can be apportioned to individual assets (level 3) and (sub-)systems of individual assets (level 4).

The four levels are necessary because they determine the level of performance of all assets. In this way, it is possible to disaggregate the level of performance down to the individual asset or 'subsystem' and determine, where appropriate, that poor performance is due to the failure of one of the component assets. Note also that staff is an (critical) 'asset' as well. The (sub-)systems below (level 4) can be interpreted

2024-09-25 12:11

Resolved Stoico Paolo


[Minor] "interpreted"

as processes in place to create a safe and healthy work environment, enable staff to operate assets within their context, ensure Human Factors are incorporated into asset designs and ensure staff is satisfied and motivated in their work – ultimately to ensure that assets systems and/or networks (level 2) can comply to their performance targets. This 'asset' has its own performance targets, i.e. the targets for process efficiency, effectiveness, quality, etc. In other words, asset systems and networks have both a technical and process element to perform as required.

The application of performance agreements and targets to a responsible party is explained in the following table:

Level	Performance agreements/targets
Level 1	The performance agreements on this level applies to the Infrastructure Manager (IM), Railway Undertaking (RU) and their (related) business processes.
Level 2	The Performance targets on this level applies to a business unit or management function responsible for an Asset system or Asset network and (related) operational processes.
Level 3	The Performance targets on this level applies to a management function responsible for individual Assets.
Level 4	<p>The Performance targets on this level applies to a management function responsible for Asset</p> <p>2024-09-24 13:30 Resolved Andreeva-Moschen Emilia (HOLDING)</p> <p>[Minor] not only design but also maintenance. finally it is the operational quality.</p> <p>designs and maintainability.</p>

In Chapter 4 is explained how this architecture can be applied to the definition and management of performance at all levels.

[SPPRAMSS-11235,  Text]

2.2 Performance stakeholders

Performance stakeholders

Performance indicators serve two main purposes for any stakeholder. First, they

2024-09-25 12:15

Resolved Stoico Paolo

[Minor] As you are referring to "Performance indicators",replace "it allows" with "they allow"

allow to have precise knowledge of performance on a specific 'level' and, if necessary, put in place a corrective action plan. Secondly, they serve

2024-09-25 12:16

Resolved Stoico Paolo

[Minor] As you are referring to "Performance indicators",replace "it services" with "they service"

as information for accountability of performance to a higher ("hierarchical") level.

The table below shows stakeholders which typically can be found at each defined 'level'. The examples show how performance indicators are being used for each stakeholder. Note that, although the list of stakeholders

2024-09-25 12:17

Resolved Stoico Paolo


[Minor] "stakeholders"

is long, it is not meant to be exhaustive.

Level	Users	Example
Level 1	Executive Committee of the Infrastructure Manager (IM) or	Performance indicators need to be generic, providing a macro view of performance. For example: the punctuality of trains within a

Level	Users	Example
<p>2024-06-03 Resolved Bois Julien (I-NAT-GST-CCS-14:23 EXT - Extern)</p> <p>Are the Grade connected to the level defined above?</p> <p>2024-06-04 Vries, 18:24 Maarten de</p> <p>We discussed the grades versus levels. Outcome, Grade 1 = Level 1, Grade 2 = Level 2, Grade 3 = Level 3 or Level 4. The latter is dependent on the organizational structure, as well as the life cycle of the asset. Indeed the suppliers are not (yet) mentioned here</p>	Railway Undertaking (RU)	concession, the availability of infrastructure, the customer satisfaction of services provided, etc. They must be easy to understand so that they can be used as a means of communicating with customers or transport authorities.
Level 2	Business Unit Manager	<p>Performance indicators are specific for the business unit's responsibility, whilst taking into account indirect effects to other business units (such as</p> <p>2024-09-25 Resolved Stoico 12:21 Paolo</p> <p>[Minor] delete "a"</p> <p>business units for Asset Management, Maintenance and Operations). For example, number of trains cancelled, the availability of trains or infrastructure (for operations), the number of incidents affecting the infrastructure, etc.</p>
Level 2	Local Operational Manager	<p>Performance indicators are specific for the operation manager's responsibility, such as region, department, etc. For example, the number of train cancelled and punctuality of trains within a specific area of use, the availability of rolling stock or infrastructure elements within a specific area or of one or more specific type(s).</p> <p>2024-06-03 Resolved Bois Julien (I-NAT-GST-CCS-EXT - 14: Extern) 23</p> <p>Nothing for the suppliers for equipments?</p> <p>2024-06-04 Vries, 18:24 Maarten de</p> <p>See comment above</p> <p>2024-06-04 Vries, 11:26 Maarten de</p>

Level	Users	Example
	Suppliers included	
Level 2	Safety Manager	Performance indicators are related to Safety and for the safety manager's responsibility. For example, the number of incidents having a safety impact or could have a safety impact (but is avoided), the number of safety incidents or near misses in maintenance, etc.
Level 2/3	Asset Manager	Performance indicators are related to RAM, Life Cycle Costs and (related) risks. For example, the reliability of (a specific type of) rolling stock or (a specific type of) infrastructure <div>2024-09-26 13:41 Resolved JAGEL Marc</div> [Minor] infrastructure element element, the operational expenditures of maintenance, the capital expenditures of changes to rolling stock and/or infrastructure, etc.
Level 2/3	Supplier	Performance indicators are related to contractually agreed values for rolling stock, infrastructure or other systems. For example, mean distance between service affecting failures (MDBSF) of rolling stock, availability of infrastructure within a certain period, the uptime of systems delivered, etc.
Level 3/4	Reliability / Maintenance Engineering	Performance indicators are related to RAM of specific systems (i.e. at detailed level). For example, the failure rate of a compressor, the availability of traction system, the reliability of switches, the uptime of power supply, etc.
Level 4	Technical Expert	Performance indicators are related to RAM and Life Cycle Costs of technical systems (i.e. system design). For example, the FIT-rates of components, the reliability of systems with and without redundancy design, the operational costs of components used, the capital and operational costs related to complexity of design, etc.

[SPPRAMSS-11232,  Text]

2024-05-31 10:46 Resolved SPANNEUT Julien (SNCF VOYAGEURS / DIRECTION DE L'INGENIERIE DU MATERIEL / CIN - DIE)

what about the supplier ?

2024-06-04 18:24

Vries, Maarten de

See comment above

3 Performance definition(s)

This Chapter describes the definitions of performance, the indicators

2024-09-25 15:39

Resolved Stoico Paolo

[Minor] "indicators"

that drive performance and the structure in which indicators can be categorized.

3.1 Performance definition

Performance definition


The Asset Management standard describes 'Performance' in a very compact way as **"measurable result"** (ISO 55000:2014), with the following notes to it:

- Performance can relate either to quantitative or qualitative findings
- Performance can relate to the management of activities, processes, products, systems or organizations, and
- For the purposes of asset management, performance can relate to assets in their ability to fulfil requirements or objectives.

When taking this definition as a basis and translating it to application in the Railway sector in general, the definition can be made specific and will read: **"the ability of all technical, operational and maintenance functions, in a specific operational context, to deliver a pre-defined and agreed level of service"**

Next to this definition, the following notes are applicable:

- It has a functional different meaning at each aggregation level
- It represents a specific context (e.g. the resulting effect on punctuality and cancellations of service)
- The minimum level is determined and influenced by system conditions, operating conditions and maintenance conditions
- It is based on a specific scope and boundary
- It comprises multiple indicators
- It comprises quantitative and qualitative information
- It is influenced by external factors which can partially or cannot be influenced

[SPPRAMSS-11233,  Text]

3.2 Railway Performance

Railway Performance

As described in the former section, Railway Performance can be described in many ways, depending in the scope and/or responsibility of a specific entity to which responsibility for this performance is addressed. This entity could be a Railway Undertaker, Infrastructure Manager or other stakeholder. The figure below shows a possible categorization of how Railway Performance can be broken down into smaller (measurable) performance indicators.

We distinguish the following categories:

- Operational Performance
- Safety and Security
- Quality and customer satisfaction
- Environmental and Sustainability performance

2025-09-26 15:53

Resolved Ronnarit Pholprasertporn

Three of the indicators (Environmental and Sustainability performance, Innovation and Technology performance, and Economic impact) affect railway performance. Why were they not taken into account in the application?

2025-10-03 16:05

Julien Bois

This comment will be brought to the next F2F meeting in Lausanne

2025-10-09 14:10

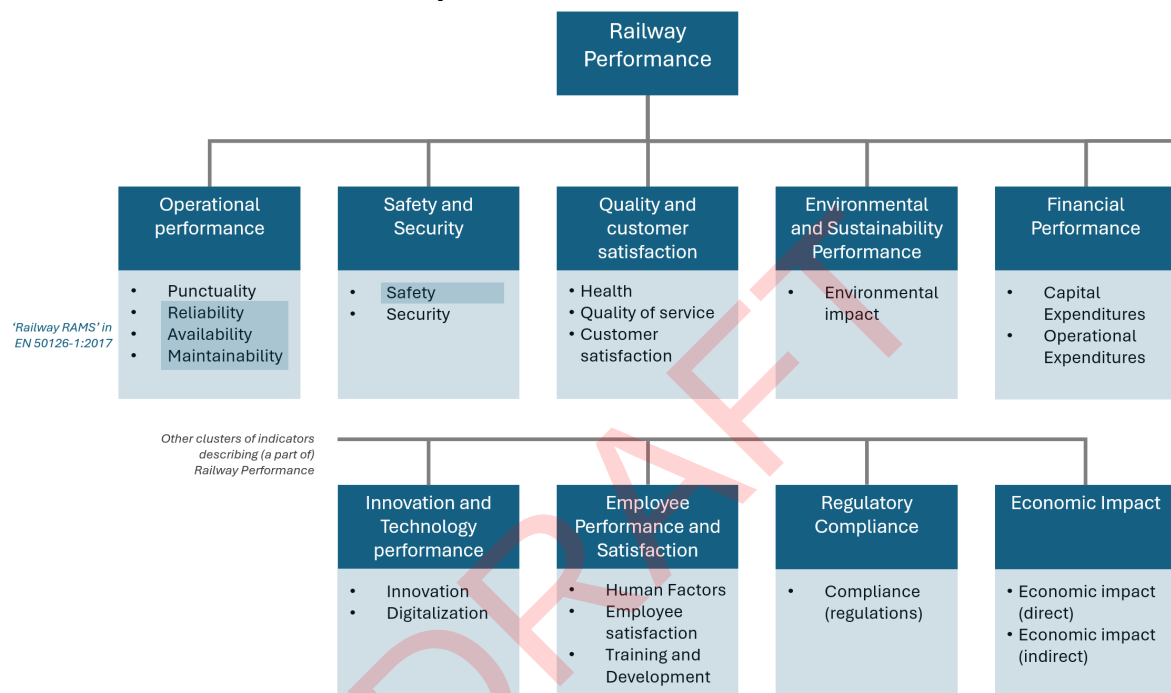
BALSAMO, Giuseppe


As indicated in §3.2 these indicators are Out of Scope of this document, that is focused only on the Performance and RAMS KPI.

No change to the document is needed.

- Financial performance
- Innovation and Technology performance
- Employee performance and satisfaction
- Regulatory compliance
- Economic impact

The clusters 'Environmental and Sustainability Performance, 'Innovation and Technology performance' and 'Economic impact' are (currently) not taken into account in this application guideline. Which doesn't mean they do not have to be taken into account in general. The highlighted indicators are part of the EN50126-1:2017 standard on Railway RAMS.



[SPPRAMSS-11237,  Text]

3.3 Indicators

indicators

• Indicators, PI and KPI

An indicator is a measurable source or subset of data or information which gives insight in quality of 'an element' in its environment. Depending on the importance to a Railway Undertaking or Infrastructure Manager one or more indicators can be defined as a 'performance indicator' (PI). When one or more indicators are critical to success,

2024-09-25 12:31

Resolved Stoico Paolo

[Minor] "success"

they are defined as a 'key performance indicator' (KPI).

• Railway Performance Indicators

There are several indicators that can be used to define the level of performance of 'an element' in the railway industry. Typically, many of these factors are generic (i.e. not specifically or unique for the Railway sector) and used in risk management as well. Specifically, in risk matrices to evaluate the severity of an event and the resulting risk. This also implies that indicators can have a threshold value above which the quality is (perceived as) reduced or not accepted.

The indicators to describe performance within the Railway sector are: punctuality, reliability, availability, maintainability, safety, security, quality, health, environmental impact, life cycle costs (capital and operational expenditures), innovation, digitalization, human factors, employee satisfaction, training and development, compliance (laws and regulations) and economic impact.

• Mutual relationships

In EN 50126-1: 2017 is explained how many of these indicators mutually influence

2024-09-25 12:33

Resolved Stoico Paolo

[Minor] "influence"

each other, where one should take into account that this figure might be different in a specific context. Which means that in some applications indicators have less influence or are even absent.

In the RAM Policy of OCORA ([OCORA-TWS07-050_RAM_Strategy](#) release R4) is defined how different indicators could be combined as a guideline on


2024-09-25 12:35

Resolved Stoico Paolo

[Minor] add "on"

how to take mutual relationships in mind.

Note that, when combining indicators (as with 'performance') also thresholds for each of the indicators involved are being combined. It is important to think about mutual relations and dependencies between indicators when at least one of the combined indicators is passing a predefined threshold. Please also refer to Section 4.4.2.

[SPPRAMSS-11238,  Text]

3.3.1 RAMSS indicators

RAMSS indicators

The RAMSS indicators include, in random order:

2024-05-31 10:46 Resolved SPANNEUT Julien (SNCF VOYAGEURS / DIRECTION DE L'INGENIERIE DU MATERIEL / CIN - DIE)

why do we speak specifically on tools in this chapter ?

2024-06-04 18:24

Vries, Maarten de

This is wording problem from an earlier version of the document. It will be replaced by 'element', 'system' or 'asset', which has to be decided.

- **Reliability:** Reliability is an important factor in the railway industry, as 'an element' that is prone to failure can disrupt operations and cause delays. Reliability is defined as the 'ability to perform as required, without failure, for a given time interval, under given conditions' [EN50126-1:2017]. Reliability can be measured in terms of failure rate per operational hour (or mileage driven), mean time between failures (MTBF), mean time or distance between failures (MTBF/MDBF), mean time between service affecting failures (MTBSF), etc.
- **Availability:** The availability of 'an element' is a second important factor in the railway industry, as elements that are frequently out of service can disrupt operations. Availability is defined as the 'ability of an item to be in a state to perform a required function under given conditions at a given instant of time or over a given time interval, assuming that the required external resources are provided' [EN50126-1:2017]. Availability can be measured in terms of mean downtime, available 'assets' at a certain period of moment in time, etc.
- **Maintainability:** The maintainability of 'an element' is a third important consideration, as it can affect the cost and efficiency of maintaining the element over time. Elements that are easy to maintain are typically more cost-effective and have lower downtime. Maintainability is defined as the 'ability to be retained in, or restored to, a state to perform as required, under given conditions of use and maintenance' [EN50126-1:2017]. Maintainability can be measured in terms of throughput time of maintenance activities, such as Mean Time To Restore/Repair (MTTR), Mean Down Time

(MDT), etc.

- **Safety:** The safety of 'an element' is a fourth important consideration in the railway industry, as tools that fail or malfunction can have serious consequences on people and environment.


2024-09-25 14:28

Resolved Stoico Paolo

[Minor] I suggest to add "on people and environment", just to emphasize the difference between safety goal (to protect people and environment from system malfunctions) and security goal (to protect the technical system from attacks from the environment)

Safety is defined as 'freedom from unacceptable risk' [EN50126-1:2017]. The level of safety of 'an element' can be evaluated based on its design, construction, and maintenance procedures, as well as its track record of performance.

- **Security:** The security of 'an element' is a fifth important consideration in the railway industry, as elements that are vulnerable to cyber threats can pose risks to the safety and reliability of rail operations. Security is defined as a 'set of activities and measures taken with the objective to identify, protect against, detect, respond to, and recover from unauthorized access or cyberattack which could lead to an accident, an unsafe situation, or railway application performance degradation' [TS50701:2023]. The level of security of 'an element' can be evaluated based on the activities and measures in place to protect it against (cyber)security threats and the track record of performance in this regard.

Overall, the level of performance of 'an element' in the Railway sector can be evaluated based on a combination of these RAMSS factors and other indicators (see Section 3.3.2) that may be specific to the element itself or the needs of the organization. [SPPRAMSS-11242,  Text]

3.3.2 Other indicators

Other indicators

In addition to the PRAMSS factors, other factors might also be used to define the level of performance of 'an element'.

2024-05-31 10:46 Resolved SPANNEUT Julien (SNCF VOYAGEURS / DIRECTION DE L'INGENIERIE DU MATERIEL / CIN - DIE)

why do we speak specifically on tools in this chapter ?

2024-06-04 18:24

Vries, Maarten de

This is wording problem from an earlier version of the document. It will be replaced by 'element', 'system' or 'asset', which has to be decided.

- **Punctuality:** Punctuality of 'an element' is probably the most profound indicator in the railway industry, as it is directly related to and perceived by users (passengers) of the Railway system. Punctuality is defined as the 'difference between the actual time of arrival and the scheduled time of arrival' [EN15140:2006] or lateness experienced at each recorded station stop. Punctuality can be measured in terms of percentage of arrivals at scheduled time within a certain bandwidth (i.e. 0-3 minutes, >3-10 minutes and >10 minutes delay). In [EN50126-1:2017] this categorization is referred

2024-09-25 14:30

Resolved Stoico Paolo

[Minor] typo->"referred"

to as 'RAM severity' and comprise minor, major and significant.

- **Quality:** The quality of 'an element' relates to the criteria and perception of 'quality' perceived by stakeholders. These criteria are typically different per phase of the life cycle (i.e. production phase versus operational phase). Quality is defined as 'the extent to which ... [an element] ... satisfies or exceeds stakeholders needs to achieve specified beneficial goals or outcomes' [ISO25019:2023]. Quality can be measured using the 'Quality-in-use' model of [ISO25010:2011] comprising 'effectiveness', 'efficiency', 'satisfaction', 'freedom from risk' and 'content coverage' indicators.
- **Health:** The health of 'an element' can be related to a technical or operational condition (the health of an element) or occupational health of stakeholders. Occupational health is defined as the 'adverse effect on the physical or mental condition of a person


2024-09-25 14:32

Resolved Stoico Paolo

[Minor] typo->"arisen"

arisen from exposure to a workplace health risk, including where exposure aggravates a pre-existing condition or the pre-existing condition affects the worker's ability to perform the task [ISO45002-1:2018].

- **Environmental impact:** The environmental impact of 'an element' can be measured in terms of exposure of harmful or polluting substances of the 'element' to the environment, due to operations and maintenance or disposal and replacement. Environmental impact can be measured by comparing actual versus target or threshold emissions per substance.
- **Capital expenditures:** The cost of 'an element' can be measured in terms of the total cost to create or acquire, operate and maintain and/or dispose or replace the 'element', over a certain period. Capital expenditures can be defined as 'expenditures on acquisitions of, or improvements to, [elements]' [TS55010:2024]. These expenditures are thus related to investments and one-off costs during the lifecycle, for example upgrades or (unplanned) renewal of systems. Capital expenditures can be measured by comparing actual investments versus predicted budget.
- **Operational expenditures:** The cost of 'an element' can be measured in terms of the total cost to create or acquire, operate and maintain and/or dispose or replace the 'element', over a certain period. Operational expenditures can be defined as 'recurrent or specific non-capital expenditures required to provide a service or product' [TS55010:2024]. These expenditures are thus related to recurring costs during the lifecycle, for example maintenance. Operational expenditures can be measured by comparing actual recurring costs versus predicted budget.
- **Innovation:** The level of innovation of 'an element' can be measured in qualitative way, by the degree of adaptation of new technologies or innovations which are adopted in the current situation or in the roadmap for futures upgrades or renewals.
- **Digitalisation:** The level of digitalisation of 'an element' can be measured in qualitative way, by the degree of producing or using data of the 'element' (or use of data from its surroundings) to gather improved insight in the health or status of the 'element' and/or to support decisions based on a (near) real-time insights.
- **Human Factors:** Human Factors is a discipline dealing with the interaction between humans and 'an element' having a positive or negative influence on the 'element'. The degree to which Human Factors are incorporated in a design or process can be described qualitatively or measured by using the 'Quality-in-use' model of [ISO25010:2011], see indicator 'Quality'.
- **Employee Satisfaction:** The level of employee satisfaction can be measured in a qualitative way, and also has a relationship with the degree to which Human Factors are considered.
- **Training and Development:** The level of training and development can be measured in a qualitative way, and indicates the degree to which training and development of staff, hence knowledge and experience with the 'element' or related process(es), positively or negatively influences the 'element'.
- **Compliance (laws and regulations):** The level of compliance can be measured in a qualitative way, and indicates to which laws and regulations the 'element' complies to.
- **Economic Impact:** The economic impact can be measured in terms of contribution of the Railway 'element' to the local community and its economics. Economic impact can be measured in various ways, such as employment, added value, benefits for local communities, etc., and can have both a direct and indirect effect.

Overall, these other indicators are additional types of metrics that could be used to evaluate the performance of 'an element' in the Railway sector. The metrics that are used will depend on the element itself, its specific context and the needs and requirements of the organization. [SPPRAMSS-11240,  Text]

4 Performance application(s)

In this Chapter the application of performance to the Railway context is outlined. Subsequently the following topics will be addressed: incorporation of performance viewpoints, how to manage expectations, application of the performance architecture and examples of performance indicators.

4.1 User's perspective

User's perspective

When talking about performance there is a distinction between agreed, actual and perceived performance. We introduce this topic as the 'performance viewpoints triangle'.

The following elements of this triangle are defined:

2024-09-27 13:59 **Resolved** SPANNEUT Julien (SNCF VOYAGEURS / DIRECTION DE L'INGENIERIE DU MATERIEL / CIN - DIE)

[major] i do not understand. The perceived performance is subjective. Not measurable / contractual. Why is it relevant ? Only agreed and actual performance are relevant, no ?

2024-10-08 14:00

CABRERA JERONIMO Francisco

It is relevant in the sense that it gives the customer's or user's impression. We can have situations where, for the travelling customer, the quality produced is good or even excellent whereas, for the operational staff, it is poor and does not meet the contractual objectives.

2024-06-03 14:38

Resolved Bois Julien (I-NAT-GST-CCS-EXT - Extern)

Can we make a link between the triangle and the "levels" and "grades"? Otherwise, it starts to become confusing

2024-06-04 18:24

Vries, Maarten de

See comment + response at section 2.1

- The '**agreed performance**' is a predefined and agreed target to which a system or process needs to comply with and is generally agreed upon between two parties. For example, a public authority and a concession holder, or a supplier and an operator, or an infrastructure manager and operator, etc. The agreed performance comprises one or multiple indicators, and is included in a concession agreement, purchase agreement, service level agreement, or any other form a contract between two parties. The agreed performance can also serve as reference (target) when a validation is required.
- The '**actual performance**' is the current or actual level that a system or process is able to achieve or fulfill. Note that actual performance is time dependent, can vary over time and is being influenced by internal and external factors. Validation is a principle used to measure actual performance. For example at a certain point in time, over a certain period of time, at certain batch or over a certain number of output. In this way the actual performance can be compared to the agreed performance and the level of fulfillment according to an agreement or contract can be evaluated.
- The '**perceived performance**' is, as the term already reads, the level of satisfaction of a stakeholder using the system or process. Stakeholders can be divided in internal stakeholders (e.g. project manager, company division, operator, etc.) and external stakeholders (e.g. public authority, interest group, passenger, etc.). The difficulty with perceived performance is that it is highly subjective, might have nothing to do with agreed and actual targets, can be influenced by external factors, but influences a company or industry's image significantly.

The challenge is to find the right balance between this 'triangle' of performance viewpoints in Railway applications. This starts with defining performance levels which both suit

2024-09-25 14:51

Resolved Stoico Paolo

[Minor] typo->"suit"

and satisfy

2024-09-25 14:51

Resolved Stoico Paolo

[Minor] typo->"satisfy"

the expectations of different stakeholders or user groups, and are reasonable and realistic to achieve in a real-world environment. The latter means that a system or process' operational context needs to be understood to a high degree, so that a system or process is capable of achieving the required performance when used and operated correctly.

The perceived performance can also be influenced by implementing effective 'stakeholder management' processes within an organization.

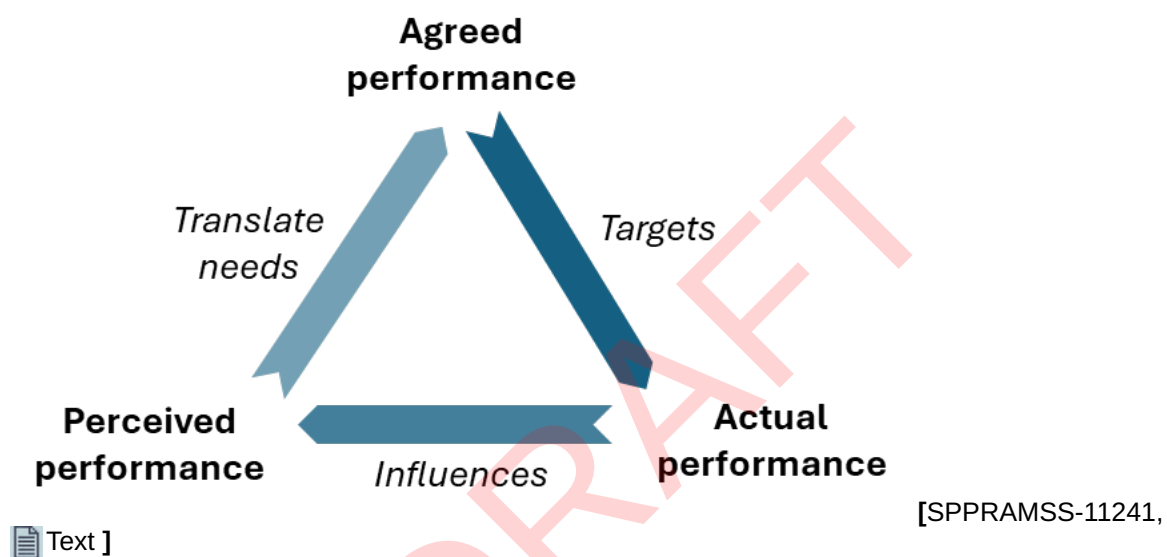
2024-05-31 10:46 **Resolved** SPANNEUT Julien (SNCF VOYAGEURS / DIRECTION DE L'INGENIERIE DU MATERIEL / CIN - DIE)

i don't understand at all this chapter. The only important performance is the agreed performance which is contractual, as well as the way to measure the deferent KPI of performance. If an actor does not respect the contract -> he has to pay fines according to the contract.

2024-06-04 18:27

Vries, Maarten de

From a contractual point this is the case, however, when different users are taken into account this meant that 'perception' also plays a role and dissatisfaction should be avoided



 Text]

4.2 How to manage expectations


How to manage expectations

As mentioned in the former section, performance comprises one or multiple indicators to define what is 'expected' from a technical or operational function. This means that expectations and requirements from internal and external stakeholders should be clear and unambiguous, and then translated into a set of indicators together defining the 'performance' of a technical or operational function.

When communicating about 'performance', 'performance levels', 'performance targets' it should be combined with explanation about which indicators drive this 'performance' and how it has been derived and agreed upon. If not communicated directly, it should be clear for anyone where this information and substantiation can be found.

Stakeholder management is the discipline to define first and second order stakeholders, engage with them to really understand their needs, translate these needs into effective measures (such a requirements, but also to set, steer and influence expectations), carefully implement these measures, measure their effectiveness and improve where necessary.

Note that communicating (written, vocal, etc.) about performance without giving the context, unintentionally creates the basis for setting own expectations by any stakeholder, leading to potential dissatisfaction (i.e. a difference between 'actual performance' and 'perceived performance').

[SPPRAMSS-11239,  Text]

4.3 How to approach Performance

4.3.1 System breakdown approach

System breakdown approach

In Chapter 2 was explained that a System

2024-09-25 14:54

Resolved Stoico Paolo

[Minor] Actually SBS is the acronym for System Breakdown Structure

Breakdown Structure (SBS) or Functional Breakdown Structure (FBS)

2024-09-25 14:54

Resolved Stoico Paolo

[Minor] Consider to add the acronym FBS

helps to define the mutual relationships of elements that define and influence performance, including architecture in which performance needs to be defined.

A top-down approach works best in most of the cases. This means that deriving requirements from a concession agreement or contract towards what is expected from assets systems and networks is the preferred approach. Subsequently, from this level further requirements can be derived towards individual assets and (sub-)systems of which the asset is composed.

2024-09-25 14:57


Resolved Stoico Paolo

[Minor] the sentence looks too complex and articulated. I suggest to break it into several simpler sentences

2024-10-09 11:23

CABRERA JERONIMO Francisco

Done

In any case, it is of the most importance that direct and indirect aspects influencing performance targets are taken into account. Meaning that aspects influencing performance, but are not part of the requirements at a parent level, shall be part of the calculations/assessment as they cannot be ignored. Please refer to the PRAMS System Concept ( System Concept - PRAMS)

2024-06-03 14:59


Resolved Bois Julien (I-NAT-GST-CCS-EXT - Extern)

The useful content of OCORA that we expect to reuse shall be done into the PRAMS Plan (still no mention of PRAM topic). Then all ToDo list for SP domains shall be inside the plan (with reference to the related WI of this document when needed)

2024-06-04 18:27

Vries, Maarten de

Good idea to clarify this reference, or even include the former picture into this document. We will address this in the next revision

in which is explained how various indicators have a mutual relationship and need to be assessed and defined as a whole. For example, technical Reliability-requirements, Maintainability-requirements, Safety-requirements, Security-requirements which all influence an asset's availability. [SPPRAMSS-11247,  Text]

4.3.2 System breakdown approach in SP


System breakdown approach in SP

The approach of System

2024-09-25 15:00

Resolved Stoico Paolo

[Minor] typo->"System". See previous similar comments

Breakdown Structure (SBS) is also applied to the context of the System Pillar, see  SPPRAMSS-3567 - System Levels and SP Tasks . A comparison between the levels in this application guide and the structure of the SP context is as follows:

- Task 0 (Public Transport System) refers to the highest level, which is described as 'level 1' in Chapter 2.
- Task 1 (The Railway System) refers to a layer between the highest level 'level 1' and intermediate 'level 2' in Chapter 2. The reason for this is that CCS in the SP context defined on a "Railway System" level (which is at 'level 1'). There is no distinction on the same level between CCS-part of the Infrastructure Manager and Railway Undertaking (with is done at 'level 2'). From a architecture point of view this is logical, however, from a KPI point of view a split of responsibility at higher level is more logical.

2024-09-25 15:07

Resolved Stoico Paolo

[Minor] The phrase does not look clear; possibly some punctuation missing

2024-10-09 11:26

CABRERA JERONIMO Francisco

Phare reformulated

- Task 2 (CCS Architecture and CCS System) refers to the intermediate level and detailed levels below, which are

2024-09-25 15:07

Resolved Stoico Paolo

[Minor] typo "are"


described as 'level 2', 'level 3' and 'level 4' in Chapter 2. Within this task 2 a breakdown of smaller elements is made, and an architecture is designed, which

2024-09-25 15:09

Resolved Stoico Paolo

[Minor] typo->delete "is"

forms a series of layers, exactly as done at the 'levels' in Chapter 2. The precise layers and their context is part of the Architecture design choices in the respective team.

[SPPRAMSS-11245,  Text]

4.3.3 Examples

Examples related to "performance"

Some examples to clarify this application:

- An asset system or network (level 2) needs to contribute to achieve the performance agreements as agreed between a public authority and a concession holder (level 1). The requirements (performance targets) for an asset system or network can be derived from the parent level. This principle is applied when a

2024-09-25 15:10

Resolved Stoico Paolo

[Minor] typo->"a"

complete asset system or network needs to be replaced, renewed or upgraded.

- An individual asset (level 3) needs to contribute to achieve the performance targets as defined by the asset owner or asset manager for the asset system or network (level 2)

2024-09-25 15:11

Resolved Stoico Paolo

[Minor] typo->delete "at"

which the individual assets belongs to. The requirements (performance targets) for an individual asset can be derived from the parent level. This principle is applied when an individual or group of assets within an asset system or network needs

2024-09-25 15:12

Resolved Stoico Paolo

[Minor] typo->"needs"

to be replaced, renewed or upgraded.

- A (sub-)system (level 4) needs to contribute to achieve the performance targets as defined by the asset owner or asset manager for the individual asset (level 3) or asset system or network (level 2)

2024-09-25 15:13

Resolved Stoico Paolo

[Minor] typo->delete "at"


which the (sub-)system belongs to. The requirements (performance targets) for an individual (sub-)system can be derived from the parent level. This principle is applied when a

2024-09-25 15:13

Resolved Stoico Paolo

[Minor] typo->"a"

specific (sub-)system within an asset needs to be replaced, renewed or upgraded.

[SPPRAMSS-11246,  Text]

4.4 Definition of indicators

The definition of indicators, target values and requirements is very specific to the level, scope, boundary conditions and application for which these need to be developed. In this section we describe which input sources, analytics and monitoring methodologies can be used.

4.4.1 Definition of indicators per level

Definition of indicators per level

• Level 1

Level 1 indicators provide a macro view of performance. These indicators are generally already provided by and agreed with a government or transport authority for a specific period of management and/or operations (i.e. 'license to operate'). Sources include: concession requirements, concession contracts and agreements, executive management dash-boarding and accountability reports, (public) company yearly reports on operational and financial performance, stakeholder group whitepapers and proposals, etc.

• Level 2

Level 2 indicators provide an intermediate level of performance. These indicators are very dependent on the organizational structure. For example, in ERJU hierarchy this level comprises

2024-09-25 15:16

Resolved Stoico Paolo

[Minor] "comprises"

CCS as a whole, there at company level CCS systems or allocation might be categorized into system-groups or a network of systems which are managed as a whole. Particularly this difference requires a deep understanding of future applications and apportionment of responsibilities (such as for target values of performance). Sources include: business unit agreements, operations or department specific agreements, subject specific agreements (such as Safety levels), top management dash-boarding and accountability reports, substantiation of achieved performance within (public) company yearly reports, etc.

• Level 3

Level 3 indicators provide a detailed level of performance. These indicators are specific to individual assets (e.g. systems), their performance and contribution to higher level performances. For example, in ERJU hierarchy this level comprises

2024-09-25 15:18

Resolved Stoico Paolo

[Minor] "comprises"

innovation and development projects or specific new systems or innovations, specific applications and architecture choices. Depending on the scope of work and boundary conditions, information and data of similar systems, applications, processes etc. can be derived and translated into future situations. Sources include: management reports, reliability analyses, maintenance analyses, computerized maintenance management systems (CMMS), enterprise resource planning (ERP) systems, system specific dashboards and accountability reports, etc.

• Level 4

Level 4 indicators provide a further detailed level of performance with respect to level 3. These indicators are specific to system design, process design and their contribution to the overall asset (e.g. system) performance. For example, in ERJU hierarchy this level comprises

2024-09-25 15:19

Resolved Stoico Paolo

[Minor] "comprises"


detailed design choices in an architecture, selection of sub-systems and components to achieve a certain functionality, requirements on modularity, interchangeability, testability, accessibility, standardization, etc. Sources include: reliability analyses, Fault Tree Analysis (FTA), Reliability Block Diagram (RBD), Failure Modes, Effect and Criticality Analysis

2024-09-25 15:21

Resolved Stoico Paolo

[Minor] Actually the acronym FMECA stands for "Failure Modes, Effects and Criticality Analysis"

(FMECA), design studies (morphological methods, multi-criteria decision methods, etc.), etc.

[SPPRAMSS-11243,  Text]

4.4.2 Boundary conditions to definition of indicators

Boundary conditions to definition of indicators

It is important to realize that indicators can be in contradiction with each other. For this reason

2024-09-25 15:22

Resolved Stoico Paolo

[Minor] "reason"

the dependencies and relationships between indicators should be carefully taken into account when defining indicators for any application. Allow us to give some examples:

- The indicators for Reliability, Availability and Maintainability have a mutual relationship, meaning that two of these indicators drive the third indicator. When defining target values or requirements for all these three indicators, one should calculate that compliance to all three indicators is still possible.
- The indicators Reliability, Availability, Safety and Security (and even more) have a mutual relationship. Requirements on Reliability, Safety and Security automatically influence

2024-09-25 15:23

Resolved Stoico Paolo

[Minor] "influence"

and determine

2024-09-25 15:24

Resolved Stoico Paolo

[Minor] "determine"

the Availability (of an asset or even asset system or network). Meaning that applying Safety and Security functions without taking the technical Reliability in mind, it

2024-09-25 15:25

Resolved Stoico Paolo

[Minor] add "it"

might result in an asset not complying to operational preconditions anymore when (due to added functions) Availability is heavily impacted and no redundancy or (acceptable) degraded mode in use is implemented.


- The indicators at each level (please refer to Section 2.1 Performance Levels) have a strong mutual relationship as from top-down perspective the level 1 'Performance agreements' sets the requirements on Level 2, 3 and 4 below (which are basically boundaries). The other way around, the achieved or achievable 'Performance Targets' at level 3 and level 4 drive the higher level's Performance Targets directly. As business, operational and situation dependent processes (for example the handling of failures or unexpected situations by users/operators) have

2024-09-25 15:27

Resolved Stoico Paolo

[Minor] "have"

a major impact on the Performance Targets, these have been included in the figure in Section 2.1 as well.

More information on these contradictions and how to cope with them are included in the RAM Policy of OCORA ([OCORA-TWS07-050_RAM_Strategy](#) release R4). [SPPRAMSS-11244,  Text]

4.5 Harmonized method

Harmonized method

Defining the performance of an element in the railway industry (such as assets and systems) in a universal and harmonized manner can be challenging, as the specific factors that are important may vary depending on the element and the needs of the organization. However, some general principles that could be followed to create a universal and harmonized method for evaluating the performance at each level in the railway industry include:

1. **Use standardized metrics:** To make comparisons between different levels and organizations, it may be helpful to use standardized metrics that are widely accepted in the industry. This could include metrics such as reliability,

2024-09-27 16:01 **Resolved** SPANNEUT Julien (SNCF VOYAGEURS / DIRECTION DE L'INGENIERIE DU MATERIEL / CIN - DIE)

[Major] Where are the standardized metrics defined ?

2024-10-08 14:00

CABRERA JERONIMO Francisco

See the annexes

maintainability, and security, as well as any other factors that are specific to the level or the needs of the organization.

2. **Consider the needs of the organization:** It is important to consider the specific needs and goals of the organization when evaluating the performance of a specific level. This could include factors such as cost, compatibility with other systems, and ease of use, as well as any other factors that are specific to the organization.
3. **Use a consistent evaluation process:** To ensure that evaluations are consistent and fair, it may be helpful to use a standardized evaluation process that is followed consistently across different elements and organizations. This could include using the same set of metrics and procedures for evaluating the performance at each level.
4. **Use a multi-faceted approach:** To get a comprehensive understanding of the performance at each level, it may be helpful to use a multi-faceted approach that considers a range of different factors. This could include both quantitative measures, such as performance data and metrics, as well as qualitative measures, such as user feedback and observations.

Overall, developing a universal and harmonized method for evaluating the performance at each level (such as level 3 for assets and systems) in the railway industry will likely involve a combination of standardized metrics and procedures, as well as a consideration of the specific needs and goals of the organization.


2024-09-27 16:01 **Resolved** SPANNEUT Julien (SNCF VOYAGEURS / DIRECTION DE L'INGENIERIE DU MATERIEL / CIN - DIE)

[Major] Will it be defined in a later version ? If yes, create an issue

2024-10-08 14:00

CABRERA JERONIMO Francisco

No, it is just a general consideration

[SPPRAMSS-11248,  Text]


5 Support

Support

The PRAMSS team within the System Pillar offers support to other SP/IP projects, specifically to understand and develop activities related to Performance (requirements) within their projects. The team has sufficient and proven knowledge from operators and industry to gather, share and develop future

specifications for Performance and KPI's and support with those activities in any stage of a SP/IP project. This support is aimed at working collaboratively with any SP or IP project to:

- Apply the principles of performance to a System Pillar working group or project
- Apply the principals of performance to an Innovation Pillar project
- Define the correct set of KPI's for a specific level, application or project
- Define practical and realistic target values for selected KPI's
- Define requirements and practices to cope with performance and KPI's (at each level) during the various project phases, and
- Define performance requirements for specific applications (e.g. the integration or application of assets, systems or components for a specific area of use)

Currently for Remit SC2.4, i.e. working activities in 2024-2025, no direct collaborations or support is foreseen. [SPPRAMSS-11249,  Text]

6 Annex: KPI examples for IM, RU and ETCS

Based on project experience, practical insights, knowledge and experience a set of KPI examples have been drafted for Infrastructure Managers (IM), Railway Undertakings (RU) and examples are included for ETCS track-side and on-board equipment.

From this already quite comprehensive list, it is important to stress that selection of the correct KPI's for a specific level or application, and definition of correct values is an activity where the PRAMS team can support. Please refer to Chapter 5 (Support).


6.1 KPI for Infrastructure Managers (IM)

Indicators existing in railways today

see also [Subgroups - Prime Infrastructure - EC Public Wiki \(europa.eu\)](#):

"The indicators agreed by the group are presented in the PRIME KPI Catalogue 3.5:

- **PRIME Current Catalogue: PRIME KPI Catalogue 3.5"**


[SPPRAMSS-15728,  Text]

6.1.1 Safety and safety on work

Safety and safety on work

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Significant accidents	<p>Relative number of significant accidents including sidings, excluding accidents in workshops, ware-houses and depots, based on the following types of accidents (primary accidents):</p> <ul style="list-style-type: none"> - Collision of train with rail vehicle - Collision of train with obstacle within the clearance gauge - Derailment of train - Level crossing accident, including accident involving pedestrians at level crossing - Accident to persons involving rolling stock in motion, with the exception of suicides and attempted suicides - Fire on rolling stock - Other accident. The boundary is the point at which the railway vehicle leaving the workshop/warehouse/depot/sidings cannot pass without having an authorization to access the mainline or other similar line. This point is usually identified by a signal. 	Number per million train-km	Yearly	National
Fatalities	<p>Sum of the number of persons killed (i.e. killed immediately or dying within 30 days, excluding any suicide) by accidents based upon following categories</p> <ul style="list-style-type: none"> - Passenger - Employee or contractor - Level crossing user - Trespasser - Other person at a platform - Other person not at a platform 	Number per million train-km	Yearly	National

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Serious injuries	Sum of the number of persons seriously injured (i.e. hospitalised for more than 24 hours, excluding any attempted suicide) by accidents based upon following categories <ul style="list-style-type: none"> - Passenger - Employee or contractor - Level crossing user - Trespasser - Other person at a platform - Other person not at a platform 	Number per million train-km	Yearly	National
Overall workforce safety while at work at IM's premises	Relative number of IM's employees, contracted consultants and contractors seriously injured (i.e. hospitalized for more than 24 hours, excluding any attempted suicide) and killed (i.e. killed immediately or dying within 30 days, excluding any suicide) by accidents while at work at IM's premises.	Number per thousand main track-km	Yearly	National
Workforce safety on track or trackside	Relative of IM's employees, contracted consultants and contractors seriously injured (i.e. hospitalized for more than 24 hours, excluding any attempted suicide) and killed (i.e. killed immediately or dying within 30 days, excluding any suicide) by accidents	Number per thousand main track-km	Yearly	National

[SPPRAMSS-15727,  Text]

6.1.2 Punctuality

Punctuality

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Delay minutes per train-km caused by the IM	Delay minutes caused by incidents that are regarded as IM's responsibility divided by total train-km operated (revenue service + shunting operations to and from depots + IM's work traffic Delay minutes according to UIC leaflet 450-2 , Appendix A - Table 1 (columns 1, 2 and 3) and corresponding explanation in appendices B.1, B.2 and B.3. Delay minutes will be measured at all available measuring points. Of those measured delay minutes that exceed a threshold of 5:29 minutes for passenger services and 15:29 minutes for freight services the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point	Minutes per train-km	Yearly	REGIONAL
Delay minutes per train-km caused by weather conditions	Average delay minutes caused by weather incidents which have led to disruptions in the railway infrastructure per total train-km of national and international passenger and freight trains (excluding work trains) actually operating (i.e. not cancelled) out of those that were scheduled in the original working timetable, including those timetabled at short notice; Delay minutes will be measured at all available measuring points. Of those measured delay minutes that exceed a threshold of 5:29 minutes for passenger services and 15:29 minutes for freight services the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point	Minutes per train-km	Yearly	REGIONAL


KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Percentage of passenger train cancellations caused by the IM	Percentage of fully or partially cancelled national and international passenger trains that were caused by incidents that are regarded as IM's responsibility according to UIC leaflet 450-2, Appendix A - Table 1 (columns 1, 2 and 3) and corresponding explanation in appendices B.1, B.2 and B.3. Including all trains that are included in the last timetable issued the day before the service (or the timetable that is valid when the train service takes place) and including all types of cancelled trains such as full cancellation (cancelled at origin), part cancellation en route, part cancellation changed origin, part cancellation diverted	% of scheduled and cancelled passenger trains	Yearly	REGIONAL
Average delay minutes per asset failure	Average delay minutes per asset failure caused by all asset failures on main track according to UIC leaflet 450-2, Appendix A - Table 1 (column 2) and corresponding explanation in Appendix B.2 - Infrastructure installations. An asset failure is counted one time and one time only if any train is affected by it. A train is affected if the asset failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured de-lay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. An asset failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Average delay minutes per signalling failure	Average delay minutes per signalling failure caused by all asset failures of signalling installations and signalling installations at level crossings on main track according to UIC leaflet 450-2, Appendix B.2 (numbers 20 & 21, apart ECTS). A signalling failure is counted one time and one time only if any train is affected by it. A train is affected if the signalling failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. An signalling failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL
Average delay minutes per telecommunication failure	Average delay minutes per telecommunication failure caused by all asset failures of telecommunication installations (GSM-R, Radio failure and more) on main track according to UIC leaflet 450-2, Appendix B.2 (number 22). An telecommunication failure is counted one time and one time only if any train is affected by it. A train is affected if the telecommunication failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. An telecommunication failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Average delay minutes per ECTS (track side) failure	Average delay minutes per ECTS failure caused by all track side failures of ECTS installations (eurobalises, euroloop, Lineside Electronic Unit, Radio Block Control) on main track according to UIC leaflet 450-2, Appendix B.2 (number 20). An telecommunication failure is counted one time and one time only if any train is affected by it. A train is affected if the telecommunication failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. An telecommunication failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Faults per million train-km	Yearly	REGIONAL
Average delay minutes per power supply failure	Average delay minutes per power supply failure caused by all asset failures of power supply equipment (power supply for electric traction, variation and drops of voltage and others) on main track according to UIC leaflet 450-2, Appendix B.2 (number 23). A power supply failure is counted one time and one time only if any train is affected by it. A train is affected if the power supply failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. A power supply failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Average delay minutes per track failure	Average delay minutes per track failure caused by all asset failures of track infrastructure (e.g., rail breakage, lateral distortion and other track failures) on main track according to UIC leaflet 450-2, Appendix B.2 (number 24). An track failure is counted one time and one time only if any train is affected by it. A train is affected if the track failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. An track failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL
Average delay minutes per structure failure	Average delay minutes per structure failure caused by all asset failures of structures (tunnels, bridges) on main track according to UIC leaflet 450-2, Appendix B.2 (number 25). A structure failure is counted one time and one time only if any train is affected by it. A train is affected if the structure failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. An structure failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Average delay minutes per other failure	Average delay minutes per other failure caused by all asset failures due to the managing and planning of staff and other causes related to infrastructure installations on main track according to UIC leaflet 450-2, Appendix B.2 (numbers 28 & 29). Any other-failure is counted one time and one time only if any train is affected by it. A train is affected if any other failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. Any other-failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL
Average delay minutes due to weather conditions related failures	Average delay minutes per weather related failure caused by all asset failures due to effects of weather or natural causes (fog, avalanches, snow-fall, mudslides, storms, gales, wheel slide or slip due to leaves on the line, floods) on main track according to UIC leaflet 450-2, Appendix B.8 (number 83). A weather related failure is counted one time and one time only if any train is affected by it. A train is affected if the weather related failure causes the train to exceed a delay minutes threshold of 5:29 minutes for passenger services or 15:29 minutes for freight services at any available measuring point. Delay minutes will be measured at all available measuring points. Of those measured delay minutes the maximum number is counted. No delay minutes are counted if these thresholds are not exceeded at any measuring point. A weather related failure is not counted if these thresholds are not exceeded for any train at any available measuring point (i.e. if no train is affected).	Minutes per failure	Yearly	REGIONAL


[SPPRAMSS-15731,  Text]

6.1.3 Reliability

Reliability

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Mean Up Time or Mean Time to Failure for all assets	Time the system is active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL
Mean Up Time for signalling subsystem	Time the subsystem signalling is active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL
Mean Up Time for telecommunication subsystem	Time the subsystem telecommunication is active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL
Mean Up Time for ECTS (track side) subsystem	Time the subsystem ECTS (track side) is active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL
Mean Up Time for Power supply subsystem	Time the subsystem Power supply is active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL
Mean Up Time for Track assets	Time the subsystem track is active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL
Mean Up Time for Structure assets	Time the subsystem structure is active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL
Mean Up Time for Other assets	Time the other assets are active, fulfilling the functionalities for which it has been designed. We consider Infrastructure System as reparable.	Days	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Mean Up Time per weather conditions	Time the system infrastructure is active, fulfilling the functionalities for which it has been designed because weather conditions.	Days	Yearly	REGIONAL
Mean Time Between Failures per asset failure	Average of the reliability time between failures of any asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per signalling failure	Average of the reliability time between failures of signalling asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per telecommunication failure	Average of the reliability time between failures of telecommunication asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per ECTS (track side) failures	Average of the reliability time between failures of ECTS asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per Power supply failures	Average of the reliability time between failures of power supply asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per Track failures	Average of the reliability time between failures of track asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per Structure failures	Average of the reliability time between failures of structure asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per Other failures	Average of the reliability time between failures of any other asset, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL
Mean Time Between Failures per weather conditions related failures	Average of the reliability time between failures due to effects or natural causes, which has an impact on railway operation (delays and cancelations).	Days	Yearly	REGIONAL

[SPPRAMSS-15733,  Text]

6.1.4 Availability

Availability


KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Tracks with permanent speed restrictions	Percentage of main tracks with permanent speed restriction due to deteriorating asset condition weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports permanent speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL
Tracks with permanent speed restrictions per signalling failure	Percentage of main tracks with permanent speed restriction due to deteriorating signalling system weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports permanent speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL
Tracks with permanent speed restrictions telecommunication failure	Percentage of main tracks with permanent speed restriction due to deteriorating telecommunication failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports permanent speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Tracks with permanent speed restrictions per ECTS (track side) failure	Percentage of main tracks with permanent speed restriction due to deteriorating ECTS track side asset failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports permanent speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL
Tracks with permanent speed restrictions per power supply failure	Percentage of main tracks with permanent speed restriction due to power supply failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports permanent speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL
Tracks with permanent speed restrictions per other failure	Percentage of main tracks with permanent speed restriction due to power supply failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports permanent speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Tracks with permanent speed restrictions due to weather conditions related failures	Percentage of main tracks with permanent speed restriction due to failures due to wheather conditions weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports permanent speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGI ONAL
Tracks with temporal speed restrictions	Percentage of main tracks with temporary speed re-striction due to deteriorating asset condition weighted by the time the restrictions are in place (not included in the yearly timetable) related to total main track-km.	% of main track-km	Yearly	REGI ONAL
Tracks with temporal speed restrictions per signalling failure	Percentage of main tracks with temporally speed restriction due to deteriorating signalling system weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports temporally speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGI ONAL
Tracks with temporal speed restrictions telecommunication failure	Percentage of main tracks with temporally speed restriction due to deteriorating telecommunication failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports temporally speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGI ONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Tracks with temporal speed restrictions per ECTS (track side) failure	Percentage of main tracks with temporally speed restriction due to deteriorating ECTS track side asset failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports temporally speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL
Tracks with temporal speed restrictions per power supply failure	Percentage of main tracks with temporally speed restriction due to power supply failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports temporally speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Tracks with temporal speed restrictions per other failure	Percentage of main tracks with temporally speed restriction due to power supply failure weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports temporally speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL
Tracks with temporal speed restrictions due to weather conditions related failures	Percentage of main tracks with temporally speed restriction due to failures due to weather conditions weighted by the time the restrictions are in place (included in the yearly timetable) related to total main track-km; restrictions are counted whenever criterion is met regardless of whether IM reports temporally speed restrictions as such or if they are included in the timetable.	% of main track-km	Yearly	REGIONAL

[SPPRAMSS-15735,  Text]

6.2 KPI for Railway Undertakings (RU)


6.2.1 Level 1 (concession KPI's for RU)

LEVEL 1 Concession KPI's agreed between a Public Authority and Consession Holder (Railway Undertaker)

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Customer satisfaction	Qualitative measurement of satisfaction of customers, i.e. control over planning their journey (passenger information, punctuality), being recognized as valued customer (experience, hospitality, cleanliness of trains), having freedom to make choices and spend travelling time wisely (services at stations, services on train and accessibility for people with reduced mobility).	Percentage [%] satisfaction	Yearly or monthly Depending on the agreement	National or Regional Depending on the agreement
Punctuality for passengers (with	Quantitative measurement of trains running according to timetable within a 5-29 minute margin, on company level.	Percentage [%]	Yearly or monthly	National or Regional

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
a 5-29 minute margin)		trains on time	Depending on the agreement	I Dependi ng on the agreem ent
Punctuality for passengers (with a 15-29 minute margin)	Quantitative measurement of trains running according to timetable within a 15-29 minute margin, on company level.	Percenta ge [%] trains on time	Yearly or monthly Depending on the agreement	National or Regiona l Dependi ng on the agreem ent
Quality of connections (to other trains)	Quantitative measurement of succesfull connections to other trains according to timetable (the ability to switch trains according to planning)	Percenta ge [%] succesfull connectio ns	Yearly or monthly Depending on the agreement	National or Regiona l Dependi ng on the agreem ent
Quality of connections (to other carriers)	Quantitative measurement of succesfull connections to other trains of other carriers according to timetable (the ability to switch trains according to planning)	Percenta ge [%] succesfull connectio ns	Yearly or monthly Depending on the agreement	National or Regiona l Dependi ng on the agreem ent
Seat availability at peak times	Quantitative measurement of the availability of seats during peak times (rush hours). Success rate is depening on collaboration between planning, demand management and traffic control.	Percenta ge [%] available seats	Yearly or monthly Depending on the agreement	National or Regiona l Dependi ng on the agreem ent
Number of crowded trains at peak times per working week	Quantitative measurement of the numbers of crowded trains during peak times (rush hours)	Number of crowded trains at peak times	Yearly or monthly Depending on the agreement	National or Regiona l Dependi ng on

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
				the agreement
Quality of passenger information (Quantitative measurement of availability and correctness of passenger information before and during their journey.	Percentage [%] available	Yearly or monthly Depending on the agreement	National or Regional Depending on the agreement


Note: The agreement between the transportation authority and the RU. [SPPRAMSS-15745,  Text]

6.2.2 Level 2 (Fleet level KPI's)

Asset Management (Maintenance)

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Safety of fleet	Quantitative measurement of the relative number of significant accidents affecting safety, including near misses, on fleet level	Number per year	Monthly	Fleet
Reliability of fleet	Quantitative measurement of mean distance between failures (MDBF), taking into account all failures, on fleet level. Where impact includes failures categorized significant, major and minor.	Mean Distance Between Failures (MDBF)	Monthly	Fleet
Reliability of fleet	Quantitative measurement of mean distance between service affecting failures (MDBSF), taking into account only failures having an impact on operations, on fleet level. Where impact on operations includes failures categorized significant and major.	Mean Distance Between Service affecting Failures (MDBSF)	Monthly	Fleet
Reliability of fleet	Alternatively to MDBF: Quantitative measurement of mean operational time between failures (MOTBF/ MTBF), taking into account all failures, on fleet level. Where impact includes failures categorized significant, major and minor.	Mean Operational Time Between Failures (MOTBF/ MTBF)	Monthly	Fleet

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Reliability of fleet	Alternatively to MDBSF: Quantitative measurement of mean operational time between service affecting failures (MOTBSF/MTBSF), taking into account only failures having an impact on operations, on fleet level. Where impact on operations includes failures categorized significant and major.	Mean Operational Time Between Service affecting Failures (MOTBSF/MTBSF)	Monthly	Fleet
Availability of fleet	Quantitative measurement of non-availability of rolling stock due to preventive maintenance, corrective maintenance, revision tasks, cleaning tasks, fire/accidents/vandalism, etc., on fleet level	Percentage [%]	Monthly	Fleet
Cleanliness of exterior and interior	Qualitative measurement, on fleet level	Percentage [%]	Monthly	Fleet
Costs of fleet	Qualitative measurement of operational expenditures of maintenance and operations, on fleet level	OPEX [EUR]	Monthly	Fleet
Spare trains (included or not included train crew)	Quantitative measurement of spare trains use	Percentage [%]	Monthly	Fleet
Number of empty trains	Quantitative measurement of empty runs	Empty runs-km	Monthly	Fleet


[SPPRAMSS-15746,  Text]

Category of failures

Proposed categorization for significant, major and minor failures (according to EN 50126-1:2017) with


respect to their direct impact on operations. With direct operational impact is meant the single train.

Category	Operational impact (example)
Significant failure	Failure leading to direct cancellation of service, having an impact on safety of operations and/or causing a delay of more than 10 minutes to continue operation, by following the prescribed instructions for failure resolution.
Major failure	Failure leading to a delay of more than 3 minutes up to and including 10 minutes to continue operations, by following the prescribed instructions for failure resolution.
Minor failure	Failure leading to a delay of up to 3 minutes to continue operations, by following the prescribed instructions for failure resolution. This also includes failures without impact on operations.

[SPPRAMSS-15747,  Text]

Operations department (operations)


KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Punctuality for passengers (with a 5-minute margin)	Quantitative measurement of trains running according to timetable within a 5-minute margin, on company level.	Percentage [%] trains on time	Yearly	Fleet
Punctuality for passengers (with a 5-29 minute margin)	Quantitative measurement of passengers arriving at their station on time within 5 minutes, at company level.	Percentage [%] passengers on time	Monthly	National or Regional It depends on the Public Authority (regional or national)
Staff on time	Quantitative measurement of the number of delays (more than 5 minutes) or cancellations of commercial trains due to crew (driver and/or conductor) absence at the train's departure.	Percentage [%] delayed or cancelled trains	Yearly	National or Regional It depends on the Public Authority (regional or national)

[SPPRAMSS-15749,  Text]

6.2.3 Level 3 (System level KPI's)

System Engineering / S

KPI PRAMS performance	KPI definition	UNITS	FREQUENCY	AREA
Reliability of systems	Quantitative measurement of mean distance between failures (MDBF), on system level (e.g. air conditioning)	Mean Distance Between Failures (MDBF)	Monthly	System
Reliability of systems	Quantitative measurement of mean operational time between failures (MOTBF), on system level (e.g. air conditioning)	Mean Operational Time Between Failures (MOTBF/ MTBF)	Monthly	System

[SPPRAMSS-15748,  Text]


6.3 Example of KPI application: ETCS track-side and on-board equipment

2024-06-03 15:02	Resolved	Bois Julien (I-NAT-GST-CCS-EXT - Extern)
How can we connect this document to the SP domains activities? Do you already have requirements for the domains?		
2024-06-04 18:27		Vries, Maarten de
Open point from 4-6 joint discussion		
2024-09-20 10:12		Vries, Maarten de
Done, refer to section 5 Support		

6.3.1 ON-BOARD ECST SUB-SYSTEM

6.3.1.1 Reliability (indicator=MTBF in hours; MDBF in kilometers)

Reliability (indicator=MTBF in hours; MDBF in kilometers)

MTBF (or MDBF): average time (or distance) between failures; [SPPRAMSS-15750,  Text]

6.3.1.2 Mission reliability (indicator = MTBSF in hours, MDBSF in kilometers)

Mission reliability (indicator = MTBSF in hours, MDBSF in kilometers)

Average time (or distance) between service-affecting failures


Service-affecting failure(s) is (are) to be defined. Examples from annex C.3 of the EN50126-1:2017:

- Significant (immobilising) failures;
- Major (service) failures; and
- Minor failures.

RAM severity category	Description
Significant (immobilising failure)	A failure that: <ul style="list-style-type: none"> prevents train movement or causes a delay to service greater than a specified time and/or generates a cost greater than a specified level
Major (service failure)	A failure that: <ul style="list-style-type: none"> prevents the system from achieving its performance and does not cause a delay or cost greater than the minimum threshold specified for a significant failure
Minor	A failure that: <ul style="list-style-type: none"> does not prevent a system achieving its specified performance and does not meet criteria for Significant or Major failures

Examples

Category	Requirement
Significant	failure that will prevent a Vehicle to operate in Level 2 Full Supervision on ERTMS lines.
Significant	Faults in ERTMS on-board systems that results in the vehicle being forced to operate without ETCS control and with the system shut down or in Staff Responsible (SR) mode
Significant	Delivery or Onboard Delivery faulty or impacted, and the Rolling Stock delayed more than 4:59 minutes or cancelled as a consequence (white fleet)
Significant	Delivery or Onboard Delivery faulty or impacted, and safety functions and/or operations of the Rolling Stock affected (Yellow fleet).
Significant	The ETCS on-board equipment of vehicles operating on Level 2 lines without main signals shall meet an MTBSF value of x hours
Significant	An emergency brake is carried out with a train due to the failure of a function of the ETCS Trainborne equipment
Significant	A maximum failure rate of x failures per 1 million km must be ensured for the ETCS overall system
Significant	Onboard Signalling Sub-system shall fulfil the following reliability requirements: - Operation in ETCS Level 2 < x failures / million km
Category	Requirement
Major	Faults in ERTMS on-board systems that result in the vehicle being forced to brake (service braking or full braking) when the system can be restarted in Normal mode within 5 minutes
Major	An service brake is carried out with a train due to the failure of a function of the ETCS Trainborne equipment
Category	Requirement
Minor	Failure that cause no train route delay and no impact on the safety, functionality, performance and operation, but which requires corrective maintenance or change of software or configuration.
Minor	All other faults in ERTMS on-board systems which do not fall into fault category 1 (significant faults) or fault category 2 (major faults).

[SPPRAMSS-15751,  Text]

6.3.1.3 Maintainability (MTTR, percent)

[#] Maintainability (MTTR, percent)


Maintainability refers to:

- Mean Time To Restore
- Percentage of failures corrected changing 1 LRU, 2LRUs, 3 LRUs
- Percentage of failures detection > x%

- Percentage of correct diagnosis > x%.

Examples

Indicator	Requirement
MTTR	All repairs of the on-board equipment are possible within a Mean Time To Repair (MTTR) of x hours.
MTTR	At least 95% of the mean times to repair (MTTR) for corrective maintenance (CM) of an ERTMS on-board system must be less than x hour. The MTTR includes the time needed to identify and diagnose the fault, rectify the fault (repair/replacement etc.) and carry out the subsequent reset/function check.
MTTR	For each failure type of the onboard ETCS, the MTTR shall be less than x hours
MRT	No single repair activity for any System shall take more than x hours. "Single activity" means an activity that cannot be split into shorter activities that can be performed independent of each other. Meaning: All maintenance activities is to be performed in one or multiple operational breaks, each of them being maximum x hours.

[SPPRAMSS-15752,  Text]


6.3.1.4 Availability (indicator = A in percents)

Availability (indicator = A in percents)

$A = \text{Mean UpTime} / (\text{Mean UpTime} + \text{Mean DownTime})$

Depending on what is included in MDT, 3 different types of availabilities:

- Intrinsic availability : $MUT = MTBSF$ & $MDT = MTTR$
- Technical availability: $MUT = MTBSF$ & $MDT = \text{time for maintenance (corrective \& preventive)}$;
- Operational availability: $MUT = MTBSF$ & $MDT = \text{time for maintenance} + \text{administrative delay} + \text{logistic delay}$.

[SPPRAMSS-15753,  Text]

6.3.1.5 Quality of service (in percent)

Quality of service (in percent)

Quality of service refers to:

- **Percentage of missions fulfilled**

$QoS = (NPT - NCT) / NPT$

NPT = number of planned trips


NCT = number of cancelled trips

- **Production ratio**

$QoS = \text{Km run} / \text{Km planned}$

- **Schedule Adherence**

$QoS = \text{number of on-time missions} / \text{total number of missions}$


(on-time = delay less than a pre-defined threshold) [SPPRAMSS-15754,  Text]

6.3.2 Track-side ECST SUB-SYSTEM

6.3.2.1 Technical Availability

Technical Availability


System component	MTBSF [h]	MTTR [h]	Technical availability A_i [%]	Stationary unavailability U
Eurobalise	800.000	0,5	99,99994	6,2 E-7
LEU	200.000	0,5	99,99975	2,5 E-6
RBC (Radio Block Center)	800.000	1	99,99988	1,2 E-6

[SPPRAMSS-15756,  Text]

6.3.2.2 Example of Fault Category

Example of Fault Category

Failure Category	Definition
Significant	All events that have led to a failure of an ETCS component of at least 5 but less than 30 delay minutes in total.
Significant	All faults causing a total stop of traffic on both tracks.
Major	All events that have led to a failure of an ETCS component and have caused a total of at least 30 minutes of delay.
Major	All faults causing a total stop of traffic on one tracks.
Minor	Train stop due to organisational prescriptions, or slowing down of running and/or any cancellation of trains such as to significantly reduce the volume of traffic carried.
Uncritical	All other failures other than Significant or Critical.

[SPPRAMSS-15755,  Text]